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MAR 16 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Bhardwaj et al.

Group Art Unit: 2882

Application Number: 09/977,065

Confirmation No. 1790

Filed: October 12, 2001

Examiner: Krystyna Suchecki

Atty. Docket No. 78186 (25-4 US)

For: **WAVEGUIDE STRESS ENGINEERING AND COMPATIBLE
PASSIVATION IN PLANAR LIGHTWAVE CIRCUITS**

VIA FACSIMILE NO. 703-872-9306

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Petition for Correction of Inventorship under 37 CFR 1.48(a)

Sir:

In view of the papers filed October 12, 2001 under serial No. 09/977,065, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a).

The inventorship of this application has been changed by the addition of

Hiroaki Yamada, 2263 McLaughlin Avenue, #2, San Jose, California, 95122-3548, U.S.A.

Upon review of this application, it has been determined that Hiroaki Yamada has made a significant contribution to this application and that his name was inadvertently omitted from the originally filed unsigned declaration and the originally filed application data sheet.

Attached please find a statement from Hiroaki Yamada stating that this error occurred without deceptive intent and that the invention as claimed included participation from Hiroaki Yamada.

*Missing 17 pages
P.C. not completely
printed.*

A Revised Declaration reflecting this change to the inventorship has been signed by all of the inventors, and is duly attached hereto.

An assignment from the inventors, including Hiroaki Yamada, to Scion Photonics, Inc. was recorded on February 11, 2002 under reel/frame 012600/0027.

Please charge the required fee of \$130.00 Deposit Account No: 50-1465.

Please charge any additional fees or credit overpayment to Deposit Account No: 50-1465.

Respectfully submitted,



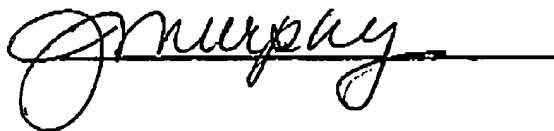
CHARLES E. WANDS
Reg. No. 25,649

CUSTOMER NO. 27975

Telephone: (321) 725-4760

CERTIFICATE OF FACSIMILE TRANSMISSION

I HEREBY CERTIFY that the foregoing correspondence has been forwarded via facsimile number 703-872-9306 to M/S PETITIONS, COMMISSIONER FOR PATENTS, this 16 day of March 2005.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Bhardwaj et al. Group Art Unit: 2882

Application Number: 09/977,065

Filed: October 12, 2001

Examiner: Krystyna Suchecki

**For: WAVEGUIDE STRESS ENGINEERING AND COMPATIBLE
PASSIVATION IN PLANAR LIGHTWAVE CIRCUITS**

**Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450**

Statement in Support of a Petition to Add an Inventor Under 37 CFR 1.48(a)

Sir:

**City of Ottawa
Province of Ontario, Canada**

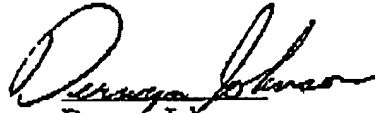
**I, Derwyn Johnson, declare that all statements made of my own knowledge are true,
and that all statements made on information and belief are believed to be true:**

**1. JDS Uniphase Corporation acquired the rights to United States Patent
Application No. 09/977,065, entitled Waveguide Stress Engineering And Compatible
Passivation In Planar Lightwave Circuits from Scion Photonics, Inc. (see Reel/Frame 013234
/0007). Scion Photonics, Inc. acquired the rights to United States Patent Application No.
09/977,065 from inventors Jyoti K. Bhardwaj, Robert J. Brainard, Zi-Wen Dong, David J.
Dougherty, Erik W. Egan, Niranjana Gopinathan, David K. Nakamoto, Thomas T. Nguyen,
Sanjay M. Thekdi, Anantharaman Vaidyanathan, Hiroaki Yamada, and Yingchao Yan (see
Reel/Frame 012600/0027).**

**2. JDS Uniphase Corporation agrees that the inventorship of United States Patent
Application No. 09/977,065, entitled "Waveguide Stress Engineering And Compatible
Passivation In Planar Lightwave Circuits" should include Hiroaki Yamada.**

3. I acknowledge that willful false statements and the like are punishable by fine and/or imprisonment, and may jeopardize the validity of the application or any patent issuing therefrom.

Sworn at the city of Ottawa in the
Province of Ontario, Canada,
this 10th day of March, 2005



Derwyn Johnson
Senior Technical Advisor, Intellectual
Property
JDS Uniphase Corporation

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: **Bhardwaj et al.** Group Art Unit: **2882**

Application Number: **09/977,065**

Filed: **October 12, 2001**

Examiner: **Krystyna Suchecki**

For: **WAVEGUIDE STRESS ENGINEERING AND COMPATIBLE
PASSIVATION IN PLANAR LIGHTWAVE CIRCUITS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Statement in Support of a Petition to Add an Inventor Under 37 CFR 1.48(a)

Sir:

City of San Jose
State of California,

I, Jyoti K. Bhardwaj, declare that all statements made of my own knowledge are true, and that all statements made on information and belief are believed to be true:

1. I am an applicant of the above-identified United States Patent Application No. 09/977,065, and an inventor of the subject matter described and claimed therein.
2. I agree that the inventorship of United States Patent Application No. 09/977,065 should include Hiroaki Yamada.

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